

American Recovery and Reinvestment Act of 2009

Possible Funding Opportunities Available for Pima Association of Governments Projects

Project Category: Housing/CDBG

Project Description: Solar Retrofits of Public Housing

Jurisdiction: City of Tucson

Funding Amount Required: \$4 Million

Potential Funding Source and Amounts Available:

- 1) The United States Department of Energy, Energy Efficiency and Conservation Block Grants
Available Funding: \$55.4 Million
- 2) The United States Department of Energy, Weatherization Assistance Program
Available Funding: \$5,000 Million
- 3) The United States Department of Housing and Urban Development (HUD), Assisted Housing Stability and Energy and Green Retrofit Investments
Available Funding: \$250 Million
- 4) The United States Department of Housing and Urban Development (HUD), Community Development Block Grants
Available Funding: \$695,454 to Pima County and \$1,657,320 to the City of Tucson
- 5) The United States Department of Housing and Urban Development (HUD), Public Housing Capital Fund
Available Funding: \$995 Million; \$348,365 with the Pima County Housing Authority
- 6) United States Department of Energy, Weatherization Assistance Program
Available Funding: \$5,000 Million
- 7) The United States Department of Energy, Innovative Technology Loan Guarantee Program
Available Funding: \$6,000 Million
- 8) The United States Department of Treasury, Community Development Financial Institutions Fund
Available Funding: \$100 Million

Authorizing Agency Contact Person:

- 1) The United States Department of Energy, Phone: (877) 337-3463
- 2) The United States Department of Energy at (877) 337-3463
- 3) HUD Senior Advisor, John Prusch, Phone: (202) 402-8368
- 4) HUD Field Office in Tucson, Phone: (520) 670-6000
- 5) HUD Senior Advisor, John Prusch, Phone: (202) 402-8368
- 6) The United States Department of Energy at (877) 337-3463
- 7) Loan Guarantee Program Office, U.S. Department of Energy CF 1.3, 1000 Independence Avenue - SW, Washington D.C. 20585, Phone: (202) 586-8336, Fax: (202) 586-7366.
- 8) CDFI Fund Help Desk, Phone: (202) 622-6355, E-Mail: cdfihelp@cdfi.treas.gov

Distribution or Appointment of Formula:

- 1) Competitive; 68 percent of the total appropriated funds will be given as direct grants to eligible units of local government; 28 percent will be allotted to the states; two percent to Indian tribes; and two percent for competitive grants to non-eligible communities.
- 2) Competitive.
- 3) Competitive.
- 4) Formula; \$695,454 to Pima County and \$1,657,320 to the City of Tucson.

Solar Retrofits of Public Housing, Continued...

- 5) Competitive and Formula; \$995 million for competitive grants and \$348,365 as Formula funding for the Pima County Housing Authority.
- 6) Competitive.
- 7) Competitive.
- 8) Loans and Competitive Grants.

Grant/Program Description:

- 1) Administered by the Department of Commerce, DOE has issued funds under Energy Efficiency and Conservation Block Grants for the purposes of: 1. Increase energy efficiency to reduce energy costs and consumption for consumers, businesses and government; 2. Reduce reliance on imported energy; 3. Improve the reliability of electricity and fuel supply and the delivery of energy services; and 4. Reduce the impacts of energy production and use on the environment.
- 2) The Department of Energy, Weatherization Assistance Program is in place to reduce the burden of energy prices on the disadvantaged. The weatherization of homes includes adding more insulation, sealing of leaks, and modernizing heating and air conditioning equipment. It does also include clean energy sources like wind and solar.
- 3) Grants and loans will be made available through HUD's Office of Affordable Housing Preservation (OAHP) for eligible property owners to make energy and green retrofit investments in the property, to ensure the maintenance and preservation of the property, the continued operation and maintenance of energy efficiency technologies, and the timely expenditure of funds. Physical and financial analyses of the properties will be conducted to determine the size of each grant and loan. Incentives will be made available to participating owners. The terms of the grants or loans will include continued affordability agreements. Grant and loan funds must be spent by the receiving property owner within two years. Full detail of how to apply, and grant and loan terms, will be published in a Housing Notice within 60 days of the Recovery Act being signed into law (by April 17, 2009).
- 4) The Community Development Block Grant (CDBG) program enables local governments to undertake a wide range of activities intended to create suitable living environments, provide decent affordable housing and create economic opportunities, primarily for persons of low and moderate income. Up to 1.0 percent of each amount appropriated in this legislation may be used for the expenses of management and oversight of the programs, grants, and activities funded by such appropriations.
- 5) The Public Housing Capital Fund provides funds for the capital and management activities of Public Housing Agencies as authorized under Section 9 of the United States Housing Act of 1937 (42 U.S.C. 1437g), including the modernization and development of public housing. Under the Act, these funds are for capital and management activities such as the development, financing, and modernization of public housing projects, including the redesign, reconstruction, and reconfiguration of public housing sites and buildings (including accessibility improvements) and the development of mixed-finance projects. Funds cannot be used for operations or rental assistance. Competitive funds shall be awarded by competition for priority investments, including investments that leverage private sector funding or financing for renovations and energy conservation. Funds shall be obligated through competitive funding by September 30, 2009.
- 6) The Department of Energy, Weatherization Assistance Program is in place to reduce the burden of energy prices on the disadvantaged. The weatherization of homes includes adding more insulation, sealing of leaks, and modernizing heating and air conditioning equipment. It does also include clean energy sources like wind and solar.
- 7) The Energy Policy Act of 2005 (EPAct05) authorizes the U.S. Department of Energy to issue loan guarantees to eligible projects that "avoid, reduce, or sequester air pollutants or

Solar Retrofits of Public Housing, Continued...

anthropogenic emissions of greenhouse gases" and "employ new or significantly improved technologies as compared to technologies in service in the United States at the time the guarantee is issued."

- 8) The Community Development Financial Institutions Fund provides monetary awards for Financial Assistance (FA) and Technical Assistance (TA) through the CDFI Program. CDFIs use FA awards to further goals such as: Economic development (job creation, business development, and commercial real estate development); Affordable housing (housing development and homeownership); and Community development financial services (provision of basic banking services to underserved communities, financial literacy training, and predatory lending alternatives). FA awards are made in the form of equity investments, loans, deposits, or grants, and must be matched, dollar for dollar, by the applicant with funds of the same type from non-federal sources. Applicants may request a maximum \$2,000,000 award under the FA Program to be used as financing capital, loan loss reserves, capital reserves, or operations.

Eligibility Requirements:

- 1) Projects that work to conserve energy and/or provide energy efficiency in communities.
- 2) Weatherization for housing for individuals with incomes within 200% of the Federal Poverty Level.
- 3) Owners of properties receiving project-based assistance pursuant to section 202 of the Housing Act of 1959 (12 U.S.C. 17012), section 811 of the Cranston- Gonzalez National Affordable Housing Act (42 U.S.C. 8013, or Section 8 of the United States Housing Act of 1937 as amended (42 U.S.C. 1437f).
- 4) Eligible applicants include States, units of general local government that received CDBG Entitlement funding in FY 2008, non-entitlement jurisdictions in Hawaii, and Insular Areas.
- 5) Public Housing Agencies that own or operate Low Income Public Housing and are eligible to receive capital funding under Section 9 of the United States Housing Act of 1937.
- 6) Weatherization for housing for individuals with incomes within 200% of the Federal Poverty Level.
- 7) Entities that have the authority to enter into, and is seeking, a loan guarantee issued by the Secretary for a loan or other debt obligation of an eligible project. An eligible project is described in the Grant/Program Description above.
- 8) An organization wishing to apply for FA only or a combination of FA and TA must be certified as a CDFI by the Fund. Interested applicants that are not yet certified must submit a separate Certification Application by October 1, 2008 to be considered for FA during the 2009 funding round.

Grant/Loan Priorities:

- 1) Priority will be given to cities or towns with populations less than 35,000.
- 2) Warmer weather states will receive a higher level of funding.
- 3) Under the Recovery Act, recipients shall give priority to projects that can award contracts based on bids within 120 days of the grant agreement.
- 4) Under the Recovery Act, recipients shall give priority to projects that can award contracts based on bids within 120 days of the grant agreement.
- 5) Public housing authorities shall give priority to capital projects that can award contracts based on bids within 120 days from the date the funds are made available to the public housing authorities. Public housing agencies shall also give priority consideration to the rehabilitation of vacant rental units and capital projects that are already underway or included in the 5-year capital fund plans required by the Act (42 U.S.C. 1437c-1(a)).
- 6) Warmer weather states will receive a higher level of funding.

Solar Retrofits of Public Housing, Continued...

- 7) Priority will be given to Guaranteed Loans already approved or in the process of being approved.
- 8) None identified.

Application Instructions:

- 1) The U.S. Department of Energy indicates that it will provide application information soon. DOE suggests that entities that plan to apply for these funds begin the registration process now, as it may take up to 21 days to complete. The process is as follows:
 - Step 1: Request a DUNS Number by clicking here.
 - Step 2: Register with the Central Contractor Registry (CCR), by clicking here.
 - Step 3: E-Business Point of Contact registers by clicking here.
- 2) Apply with the U.S. Department of the Energy. The detailed application process is set forth in the Funding Opportunity Announcement by the National Energy Technology Laboratory. Applicants must complete the Standard Form 424 (SF 424). Complete all required fields in accordance with the instructions on the form. The list of certifications and assurances referenced in Field 21 can be found on the DOE Financial Assistance Forms Page at http://management.energy.gov/business_doe/business_forms.htm under Certifications and Assurances. The SF 424 can be downloaded from <http://www.netl.doe.gov/business/forms.html#FUNDING>.
- 3) These grants and loans are available at www.grants.gov under CFDA number 14.318. However, full detail of how to apply and grant and loan terms, will be published in a Housing Notice within 60 days of the Recovery Act being signed into law (by April 17, 2009).
- 4) Grantees will prepare an action plan amendment. HUD will process the plans in an expedited manner, execute grant agreements, and make the funds available in each grantee's line of credit. Contact Pima County and the City of Tucson for more information.
- 5) HUD will issue a Notice of Funding Availability (NOFA) seeking applications from eligible applicants. Awards shall be obligated through competitive funding by September 30, 2009.
- 6) Apply with the U.S. Department of the Energy. The detailed application process is set forth in the Funding Opportunity Announcement by the National Energy Technology Laboratory. Applicants must complete the Standard Form 424 (SF 424). Complete all required fields in accordance with the instructions on the form. The list of certifications and assurances referenced in Field 21 can be found on the DOE Financial Assistance Forms Page at http://management.energy.gov/business_doe/business_forms.htm under Certifications and Assurances. The SF 424 can be downloaded from <http://www.netl.doe.gov/business/forms.html#FUNDING>.
- 7) The U.S. Department of Energy has a pre-application requirement as well as an actual application process. Details are outlined in the application packets located at <http://www.lgprogram.energy.gov/Solicitationfinal.pdf>.
- 8) Apply with the Department of Treasury at http://www.cdfifund.gov/what_we_do/programs_id.asp?programID=7.

Application Deadline:

- 1) Unknown.
- 2) The Initial Application is to be submitted to the following email address WAP-recovery@netl.doe.gov no later than May 23, 2009. Full application deadline is May 12, 2009.
- 3) February 16, 2011
- 4) Unknown.
- 5) Unknown.
- 6) The Initial Application is to be submitted to the following email address WAP-recovery@netl.doe.gov no later than May 23, 2009. Full application deadline is May 12, 2009.

Solar Retrofits of Public Housing, *Continued...*

- 7) None – Continuous.
- 8) Unknown.

Websites Available:

- 1) U.S. Department of Energy, Energy Efficiency and Conservation Block Grants - <http://www.azcommerce.com/Energy/EECBG.htm>
- 2) U.S. Department of Energy, Weatherization Assistance Program Opportunity - http://apps1.eere.energy.gov/wip/pdfs/wap_recovery_act_foa.pdf
- 3) HUD Recovery Act Website for the Assisted Housing Stability and Energy and Green Retrofit Investments - <http://www.hud.gov/recovery/aheretrofith.cfm>
- 4) HUD Recovery Act Website for the Community Development Block Grant - <http://www.hud.gov/recovery/cdblock.cfm>
- 5) HUD Recovery Act Website for the Public Housing Capital Fund (Formula) – <http://www.hud.gov/recovery/phcfund.cfm>
HUD Recovery Act Website for the Public Housing Capital Fund (Competitive) - <http://www.hud.gov/recovery/phcapfundh.cfm>
U.S. Code Low-Income Housing Regulations for the Public Housing Capital Fund - [http://frwebgate.access.gpo.gov/cgi-bin/usc.cgi?ACTION=RETRIEVE&FILE=\\$\\$xa\\$\\$busc42.wais&start=23180369&SIZE=56906&TYPE=TEXT](http://frwebgate.access.gpo.gov/cgi-bin/usc.cgi?ACTION=RETRIEVE&FILE=$$xa$$busc42.wais&start=23180369&SIZE=56906&TYPE=TEXT)
- 6) U.S. Department of Energy, Weatherization Assistance Program Opportunity - http://apps1.eere.energy.gov/wip/pdfs/wap_recovery_act_foa.pdf
- 7) U.S. Department of Energy, Innovative Technology Loan Guarantee Program - <http://www.lgprogram.energy.gov/index.html>
- 8) U.S. Department of Treasury, Community Development Financial Institutions Fund's Website - http://www.cdfifund.gov/what_we_do/programs_id.asp?programID=7